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(PATENT)

Attorney Docket No. 05222.00171

Express Mail No. EL 957198614 US  
Deposited October 28, 2002

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By: X e v l

Rosenfeld, et. al., U.S. Patent Application No. 09/868,695 for "A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A GOAL BASED SYSTEM, UTILIZING A TABLE BASED ARCHITECTURE"

- Transmittal Form (in duplicate)
- Preliminary Amendment (1 page)
- Return Receipt Postcard

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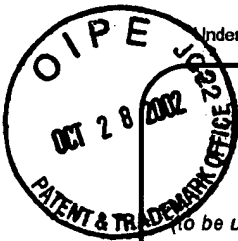
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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/868,695
Filing Date	February 8, 1999
First Name of Inventor	Rosenfeld, et. al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	05222.00171

Total Number of Pages in This Submission

4

05222.00171

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## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Preliminary Amendment</b> <b>Certificate of Express Mail</b> <b>Return Receipt Requested</b>
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### Remarks

The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed.

Express Mail No. EL 957198614 US

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kenneth F. Smolik Banner & Witcoff, Ltd.
Signature	<i>Kenneth F. Smolik</i>
Date	October 28, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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*ah*  
11-7-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 05222.00171)

In re U.S. Patent Application of Rosenfeld, )  
et. al. )  
Application No. 09/868,695 )  
Filed: February 8, 1999 )  
For: A SYSTEM, METHOD AND ARTICLE )  
OF MANUFACTURE FOR A GOAL )  
BASED SYSTEM, UTILIZING A TABLE )  
BASED ARCHITECTURE )

Examiner: Unassigned  
Group Art Unit: Unassigned

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OCT 31 2002  
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**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

This Preliminary Amendment is being filed prior to examination. It is believed that no fee is due in connection with this filing. However, if a fee is due, the Commissioner is authorized to charge such fee to Deposit Account No. 19-0733.

Prior to examining the above-identified application, please amend the application as follows:

IN THE TITLE:

Please change the title to "A GOAL BASED SYSTEM, UTILIZING A TABLE BASED ARCHITECTURE".

**REMARKS**

The Applicant submits the change in title in order to be more succinct.

Date: October 28, 2002

Respectfully submitted,  
*Kenneth F. Smolik*  
Kenneth F. Smolik  
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